



Application Contents & Thresholds – §9006

§9006 Renewable Energy Systems & Energy Efficiency Improvements Grant Program

The content of a complete §9006 grant application varies depending of the total cost of the project and the type of energy technology being proposed:

	“Simple” Application (≤\$200,000 total project cost)	“Full” Application (>\$200,000 total project cost)
PART I. APPLICANT FORMS AND CERTIFICATIONS	1 Cover letter 2 Table of contents 3 Form SF 424 4 Form SF 424C 5 Form SF 424D 6 Form AD-1047 7 Form AD-1048 8 Form AD-1049 9 - 10 - 11 Form RD 400-1 12 Form RD 400-4 13 Certification of relationship 14 Certification of need 15 Form RD 1940-20 16 Organizational documents 17 Project summary 18 - 19 Matching funds spreadsheet 20 Self-evaluation score sheet	1 - 2 Table of contents 3 Form SF 424 4 Form SF 424C 5 Form SF 424D 6 Form AD-1047 7 Form AD-1048 8 Form AD-1049 9 Exhibit A-1, 1940-Q 10 Form SF LLL 11 Form RD 400-1 12 Form RD 400-4 13 Certification of relationship 14 Certification of need 15 Form RD 1940-20 16 Organizational documents 17 Project summary 18 Financial information 19 Matching funds spreadsheet 20 Self-evaluation score sheet
PART II. TECHNICAL REPORT (Using the format that best matches the project)	Biomass Anaerobic digester Energy efficiency Geothermal, electric Geothermal, direct use Hydrogen Solar, hot water Solar, small electric (≤10kw) Solar, large electric (>10kW) Wind, small (<100kw) Wind, large (>100kw)	Biomass Anaerobic digester Energy efficiency Geothermal, electric Geothermal, direct use Hydrogen Solar, small electric (≤10kw) Solar, large electric (>10kW) Wind, small (<100kw) Wind, large (>100kw)
PART III. PROFESSIONAL ANALYSIS *	if renewable energy: n/a if energy efficiency: Energy Audit or Energy Assessment	if renewable energy: Feasibility Study if energy efficiency: Energy Audit

* The professional analysis (Part III) is required supporting documentation for the Technical Report (Part II).

The scope and content of the independent professional analysis supporting the Technical Report varies depending of the total cost of the project and the technology being proposed:

Total Project Cost	Energy Efficiency	Renewable Energy
0-\$50,000	SIMPLE APPLICATION Exhibit A Technical Report + Energy Assessment	SIMPLE APPLICATION Exhibit A Technical Report No feasibility study
>\$50,000-\$200,000	SIMPLE APPLICATION Exhibit A Technical Report + Energy Audit	
>\$200,000-\$400,000	FULL APPLICATION Exhibit B Technical Report + engineering drawings + must use PE services + Energy Audit	FULL APPLICATION Exhibit B Technical Report + engineering drawings + Feasibility Study
>\$400,000-\$1,200,000		FULL APPLICATION Exhibit B Technical Report + engineering drawings + must use PE services + Feasibility Study
>\$1,200,000		FULL APPLICATION Exhibit B Technical Report + engineering drawings + must use PE services + 2 nd PE review opinion + Feasibility Study

More information at: <http://www.rurdev.usda.gov/or/rbs.htm> **or contact:**

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