

# Grant Application Comparison

4280.111 (b)	Maximum grant – 25% of total eligible project costs.  Maximum combination grant and guaranteed loan - 50% of total eligible project costs.	SIMPLIFIED grant application RE or EE total eligible project costs are <b>\$200,000 or less</b>	FULL grant application RE or EE total eligible project costs <b>exceed</b> \$200,000	Combo Grant and Guaranteed Loan
(2)	Table of Contents	X	X	Separate applications for both types of assistance are required.
(1)	Forms, certifications, and agreements	X	X	
(1) (i) A,B,C	SF 424, SF 424C, SF 424D	X	X	The separate applications must be submitted simultaneously with the appropriate documentation.  Each application must meet the application requirements specified in 4280.111 for grants and 4280.128 for guaranteed loans.
	DUNS # and Fed Tax ID #- <span style="color: red;">located on the SF 424</span>	X	X	
D	1940-20 Request for Environmental Information	X	X	
(1)(ii) A	AD 1049	X	X	
B	AD 1048 - Second Tier Transactions	X	X	
C	Exh. A-1 1940-Q, if grant over \$100,000	NO	X	
D	SF-111, if applicable	X	X	
E	AD 1047 – Primary Transactions	X	X	
F	400-1 Equal Opportunity Agreement	X	X	
G	400-4 Assurance Agreement	X	X	
H	Intergovernmental Review, if applicable	X	X	
I	Certification regarding known relationship or association with an Agency employee	X	X	
J	Applicant certification regarding financial need	X	X	
(1) (iii)	Organizational documents (except for sole proprietors)	X	X	
(3)	Project Summary	X	X	
(i)	Descriptive Title of the Project	X	X	
(ii)(a)	Applicant/Borrower Eligibility 4280.107 (1) – (4)	X	X	
	(5) Demonstrated financial need	Applicant certification	X	
(ii)(b)	Making satisfactory progress on previous grants?	X	X	
(iii)	Project Eligibility 4280.108 (a) – (g)	X	X	
(iv)	Operation description	X	X	
(v)	Financial information for size determination	X	X	
(4)	Financial Information	NO	X	
i, ii, iii, iv	3 years historical financial statements Current year financial statements 3 years pro forma financial statements Demonstration of financial need	NO	X	
(5)	Matching Funds documentation – spreadsheet & attachments	X	X	
(6)	Self Evaluation Score – Use Guide 4 or Appendix C - Scoring Guideline	X	X	
For all EE	Energy audit or energy assessment – Audit required for projects with total eligible costs > \$50,000	X	X	
For all projects	Technical Report	X Appendix A	X Appendix B	
	If total eligible project costs exceed \$1,200,000 – need independent consultant opinion	NO	X	
	RE project – if total eligible costs exceed \$400,000 – need P.E. EE project –if total eligible costs exceed \$200,000 – need P.E.	NO	X	
For RE	Business level feasibility study required for projects w/ total eligible costs > \$200,000	NO	X	
4280.109	Does the applicant meet simplified application criteria? Use Guide 6	X	NO	
4280.115	Construction –owner can participate without compensation or serve as prime contractor/installer.	X	Participate only	
Insurance	National flood insurance, if applicable, for both. Business interruption insurance?	NO	X	

Comparison of Required Information and Format for Technical Reports	
Simplified Grant Application – Appendix A Total Eligible Costs of <b>\$200,000 or less</b>	Full Grant Application – Appendix B Total Eligible Costs <b>Exceeding \$200,000</b>
1. Qualifications of key project service providers	1. Qualifications of the project team
2. Agreements, permits, and certifications	2. Agreements, permits, and certifications
3. Resource assessment	3. Resource assessment
4. Design and engineering	4. Design and Engineering
5. Project development schedule	5. Project development schedule
6. Project economic assessment	6. Project economic assessment
7. Equipment procurement	7. Equipment Procurement
8. Equipment installation	8. Equipment Installation
9. Operations and maintenance	9. Operations and Maintenance
10. Dismantling and disposal of project components	10. Dismantling and disposal of project components