

Thresholds in 4280-B

Renewable Energy Grants, Energy Efficiency Grants and B&I Energy Guaranteed Loans

The thresholds in the final rule can be identified in six categories:

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|---------------------------|---------------------------|
| 1. Financial assistance | 4. Scoring |
| 2. Application process | 5. Construction contracts |
| 3. Technical requirements | 6. Servicing |

All the threshold values in 4280-B have been reflected in these tables.

1. Amount of financial assistance	Renewable Energy (RE)	Energy Efficiency (EE)
Minimum grant <i>(up to 25 % of total eligible project costs)</i>	\$ 2,500	\$ 1,500
Maximum grant <i>(up to 25 % of total eligible project costs)</i>	\$ 500,000	\$ 250,000
Minimum guaranteed loan <i>(no more than 75% of total eligible project costs)</i>	\$ 5,000	\$ 5,000
Maximum guaranteed loan <i>(no more than 75% of total eligible project costs)</i>	\$25 million	\$25 million
Minimum combination grant & loan <i>(no more than 75% of total eligible project costs)</i>	\$ 5,000	\$ 5,000
Minimum grant portion of the combined funding request	\$ 1,500	\$ 1,500
Maximum grant assistance in any one fiscal year per grantee	\$ 750,000	
Maximum combination grant/guaranteed loan <i>(no more than 75% of total eligible project costs)</i>	\$25 million	
Guaranteed loan - <i>Equity evidence by borrowers</i>	≤ \$600,000 – not less than 15% of eligible project costs > \$600,000 – not less than 25% of eligible project costs	
Maximum percentage of guarantee	≤ \$600,000	85%
	> \$600,000 to ≤\$5 million	80%
	> \$5 million to ≤\$10 million	70%
	> \$10 million to ≤ \$25 million	60%

2. Application process	Total eligible project costs
Simplified grant application criteria and procedures	≤ \$200,000
Applicant certification of financial need	All grant applications
Guaranteed loans – Reduced application and documentation	≤ \$600,000
Guarantee loans – Full application and documentation	> \$600,000
Form required – Exhibit A-1, 1940-Q	Grants greater than \$100,000
Guaranteed loan - Appraisal	≤ \$600,000
	\$600,000

3. Technical Requirements	Renewable Energy (RE) Total Eligible Project Costs	Energy Efficiency (EE) Total Eligible Project Costs
Energy audit or energy assessment required?	No	Yes
Energy audit or energy assessment required – conducted by energy assessor or an energy auditor	NA	≤ \$50,000
Energy audit required –conducted by or reviewed by energy auditor	NA	> \$50,000
Technical report – Appendix A <i>Commercial technology</i>	≤ \$200,000	≤ \$200,000
Full technical report – Appendix B <i>Pre-Commercial or Commercial technology</i>	. \$200,000	. \$200,000
Licensed PE requirement – require design review, installation monitoring, testing prior to commercial operation, and project completion certification by PE	>\$400,000	> \$200,000
Technical report reviewed with an opinion and recommendation by a qualified independent consultant	> \$1,200,000	> \$1,200,000
Renewable Energy – Business level feasibility study	> \$200,000	NA

4. Scoring	Renewable Energy (RE)	Energy Efficiency (EE)	Points Awarded
Opt to obtain an energy audit	NA	≤ \$50,000	5
Small Agricultural producer	< \$600,000 gross income	< \$600,000 gross income	5
	< \$200,000 gross income	< \$200,000 gross income	10
Very Small Business	< \$1,000,000 gross annual receipts, < 15 employees	< \$1,000,000 gross annual receipts, < 15 employees	10
Simplified application	< \$200,000 total eligible project costs	< \$200,000 total eligible project costs	5

5. Construction contracts	Contract Value
Equal Employment Opportunity	> \$10,000
Contracting requirement threshold	> \$100,000
Simple Contract Method	≤ \$200,000 (including equipment purchases)
Design/build Method	> \$200,000 with Agency prior concurrence
Contract Method	> \$200,000

6. Servicing	
Annual audited financial statement MAY be required	Guaranteed loans > \$3,000,000